



## 4.0 Record Retention and Deletion Policy

Dove Holes CE Primary School / Peak Dale Primary School /  
Taddington & Priestcliffe CE (A) Primary School

Version 1.1

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<b>Job Role</b>	<b>School Business Manager</b>
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This document will be reviewed annually and sooner when significant changes are made to the law

Guidance from the Department for Education about school policies can be found here:

<https://www.gov.uk/government/publications/statutory-policies-for-schools-and-academy-trusts/statutory-policies-for-schools-and-academy-trusts>

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## 4.1 How to use this document

This is a very big document. It can be read from front to back, but this will take time. Therefore, you can use the drop-down boxes below to select your role in school – this will then suggest the most relevant sections, alternatively you can select from an A-Z of relevant provisions.

My Role: (please choose from the dropdown <a href="#">and press tab</a> )	Suggested sections:

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	<p>Attendance</p> <p>Curriculum (Implementation)</p> <p>Curriculum Management</p> <p>Extra Curriculum Management</p> <p>Family Liaison / Early Help / Alternative Provision</p> <p>HR - Management of Disciplinary and Grievance Processes</p> <p>HR – Operational Staff Management</p> <p>Medication</p> <p>Parent / Alumni Associations</p> <p>Pupil Education Record inc SEN, Ed Psych reports</p> <p>Recording Meetings, calls, online lessons, training</p> <p>School Communications inc email &amp; social media</p> <p>Work Experience / Placement</p>
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**A-Z**

- [Admissions](#)
- [Attendance](#)
- [Central Government](#)
- [Child Protection \(CP\) / Safeguarding Records](#)
- [Curriculum \(Implementation\)](#)
- [Curriculum Management](#)
- [Extra Curriculum Management](#)
- [Family Liaison / Early Help / Alternative Provision](#)
- [Financial Management – Accounts and Statements including Budget Management](#)
- [Financial Management – Contract Management](#)
- [Financial Management – Risk & Insurance, Asset Management](#)
- [Financial Management – School Fund](#)
- [Financial Management – School Meals](#)
- [Governing Body](#)
- [Executive Head & Senior Management/Leadership Team](#)
- [Health and Safety](#)
- [HR - Management of Disciplinary and Grievance Processes](#)
- [HR – Operational Staff Management](#)
- [HR – Payroll & Pensions](#)
- [HR – Recruitment](#)
- [Local Authority Returns](#)
- [Medication \(Administration Records\)](#)

[Operational Administration](#)  
[Parent / Alumni Associations](#)  
[Property Management](#)  
[Pupil Education Record inc SEN, Ed Psych reports](#)  
[Recording Meetings, calls, online lessons, training](#)  
[School Communications inc email & social media](#)  
[Special Educational Needs \(SEN\)](#)  
[Work Experience / Placement \(pupil\)](#)

## 4.2 Introduction

**The Independent Inquiry into Child Sexual Abuse (IICSA) states:** “Institutions .... have an obligation to preserve records for the Inquiry for as long as necessary to assist the Inquiry. Prolonged retention of personal data by an organisation at the request of the Inquiry would not therefore contravene data protection legislation, provided **such information is restricted to that necessary to fulfil any potential legal duties that organisation may have in relation to the Inquiry.** An institution may have to account for its previous activities to the Inquiry so retention of the data will be regarded as necessary for this purpose.”

**Therefore, any records that may be in scope of the inquiry because they pertain to matters relating to the care or abuse of children should be retained until further notice and the periods specified in this guidance, in relation to those records only, are suspended until further notice.**

This record retention and deletion policy contains recommended retention periods for the different record series created and maintained by Dove Holes CE Primary, Peak Dale Primary and Taddington & Priestcliffe CE (A) Primary schools. The schedule refers to all information whether it is held in hard copy or electronic format including cloud and web based or on third party platforms.

Some of the retention periods are governed by statute. Others are guidelines, following best practice, employed by schools throughout the United Kingdom. Every effort has been made to ensure that these retention periods are compliant with the requirements of the UK General Data Protection Regulation 2018 (GDPR), the Data Protection Act 2018 (DPA), Article 8, the Human Rights Act 1998, the Freedom of Information Act 2000 (FOI) and the Code of Practice on Records Management (under Section 46 of the FOI).

Managing records series using these retention guidelines will be deemed to be ‘normal processing’ under the terms of the legislation noted above. If those record series are to be kept for longer or shorter periods than the time scales held in this document, the reasons for any deviation must be recorded.

## 4.3 Purpose

This policy, for managing records at Dove Holes CE Primary, Peak Dale Primary and Taddington & Priestcliffe CE (A) Primary schools has been drawn up in conformity with legislation, regulations affecting schools and best practice as promoted by the Information and Records Management Society of Great Britain.

This policy sets out guidelines for recording, managing, storing and the disposal of data, whether they are held on paper or electronically (including online), in order to assist staff, and the school, to comply with the **General Data Protection Regulation (EU) 2016/679 (GDPR) including as adopted by the United Kingdom as a result of its exit from the European Union (“UK GDPR”)**, Data Protection Act 2018 and the Freedom of Information Act 2000. It should be read and used in conjunction with all of our related policies.

It is expected that;

- All information held by schools needs to be justifiable, by reference, to its purpose.
- Schools must be transparent and accountable as to what data they hold.
- Schools must understand and explain the reasons why they hold data.
- Schools must be able to respond to Subject Access Requests.
- Schools must be able to amend, delete or transfer data promptly upon any justified request.
- Schools must be able to audit how personal data was collected and when and why.
- Schools must hold sensitive data securely, accessed only by those with reason to view it and possess a policy as to why it is needed.

## 4.4 Disposal of Data

Article 5(e) of the GDPR states that personal data should be 'kept in a form which permits identification of data subjects for no longer than is necessary for the purposes for which the personal data are processed; personal data may be stored for longer periods insofar as the personal data will be processed solely for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes... in order to safeguard the rights and freedoms of the data subject ('storage limitation')'.

Not all data needs to be destroyed. The school should determine whether records are to be selected for permanent preservation, or for destruction or to be transferred into a different format.

When information is no longer required, it should be disposed of. For confidential, sensitive or personal information, to be considered securely disposed of, it must be in a condition where it cannot either be read or reconstructed.

Skips, 'regular' waste disposal and ribbon shredders are not secure.

Paper records should be cross-shredded, incinerated, or pulped.

CDs/DVDs/discs should be cut into pieces. Hard copy images, AV recordings and hard disks should be dismantled and destroyed. Where third party disposal companies are employed, a certificate of destruction must be obtained. Staff working for external provider should have been trained in the handling and destruction of confidential data.

If the school receives a request for records that have not yet been destroyed, even if they should have been destroyed, that record must still be made available to the requestor.

The Freedom of Information Act 2000 requires the school to maintain a list of all records that have been destroyed and who authorised their destruction. This record should be retained for 15 years. The appropriate members of staff (Data Lead) should record:

- File reference and/or unique identifier
- File title or brief description of contents
- Number of files
- Name of the authorising officer

An example is contained in Annex A.

## 4.5 Transfer of Records to Archives

A school archive is different from official school records. A school archive preserves data where there is a legitimate interest in holding that information e.g. to commemorate a significant event in the life of the school. It can take on many characteristics and serve many purposes--but it neither compliments nor replaces the official record-keeping systems.

Where records have been identified as being worthy of permanent preservation, due to their historical or social value, they may be retained on site or transferred to the Local Authority Record Office ([see local guidance Find an archive | The National Archives](#)).

Where the school decides to maintain an onsite archive, the school should consult with their Data Protection Officer to implement the following steps:

- Establish what information needs to be archived
- Select someone to serve as the archivist. This may be an additional function within an established role, to work alongside both the Data Protection Officer and Data Lead officer (where applicable).
- Select a physical location to house the collection, and determine what equipment and supplies are needed to accomplish the project for the first year and on a continuing basis e.g. safe storage, shelving
- Remember that archives can include electronic data e.g. schools may have digital photographs which are no longer displayed on their website or social media pages. Consider not only holding and cataloguing this data in a secure driver, but making potential requestors aware of its presence, through a dedicated website.
- Come to an agreement with the Local Authority Record Office, in order for the collected materials could be turned over if the school archives should be discontinued.

## 4.6 Transfer of Records to other Media

Where lengthy retention periods have been allocated to records, schools should consider converting paper records to other media (e.g. digital or virtual, 'cloud' based). The lifespan of the media, and the ability to migrate data, should be documented in a Digital Continuity Policy. [A scanning risk assessment is recommended to ensure the procedure is adequate.](#)

## 4.7 Transfer of Records to other Settings & 'Last Known School'

When a child leaves the school, all pupil records should be transferred in a secure manner, to the child's new school. If the records contain sensitive information (e.g. Child Protection records), proof of receipt must be obtained and logged by the school's Data Lead. All data held by the school should then be deleted, including all paper records and data stored electronically. A record should be kept for tracking and auditing purposes only.

[Responsibility for maintaining the pupil record passes to the 'last known school'.](#)

[The school is the final or last known school if:](#)

- [secondary phase and the pupil left at 16 years old or for post-16 or independent education, or;](#)
- [at any point the pupil left for elective home education, they are missing from education, or have left the UK, or have died.](#)

[The Pupil Record should be retained as a whole for 25 years from the date of birth of the pupil, after which time, if no longer required, it can be deleted or destroyed.](#)

SEN and other support service records can be retained for a longer period of 31 years to enable defence in a “failure to provide a sufficient education” case.

If a school wishes to retain data for analysis or statistical purposes, it should be done in an anonymised fashion.

## 4.8 Responsibility and Monitoring

The Executive Head and/or Head of School, hold primary and day to day responsibility, for implementing this policy. The Data Protection Officer, in conjunction with the school, is responsible for monitoring its use and effectiveness and resolving any queries with regards the interpretation of the policy.

All permissions to access data are granted by the Head Teacher and recorded in the member of staff’s personnel file.

All teaching and office staff are given training and guidance on accessing and managing school records, to ensure compliance with the time scales laid out under the retention schedule. All members of staff, with access to records, are expected to;

- Manage their current record keeping systems using the Retention Policy.
- Only dispose of records in accordance with the requirements outlined in this policy, if authorised to do so.
- Ensure that any proposed divergence from the records retention schedule and disposal policies is authorised and documented by the Head Teacher.

This policy does not form part of any employee’s contract of employment and is not intended to have a contractual effect. However, it does reflect the school’s current practice, the requirements of current legislation and best practice and guidance. It may be amended by the school but any changes will be notified to employees within one month of the date on which the change is intended to take effect. The school may also vary any parts of the procedure, including time limits, as appropriate.

## 4.9 Retention tables

Reference	File description	Format / How / Where this file is held	Responsible Role	Retention			
				Period	Trigger	Basis	Action at end of use
<b>1. Governing Body</b>							
1.1	Instruments of Government including Articles of Association	Electronic and paper copies within admin system	Clerk	Permanent	Closure of school	Common practice	These should be retained in the schools whilst the school is open and then to the Local Authority Record Office, when the school closes
1.2	Trusts and Endowments managed by the Governing Body	If applicable – electronic and paper copies held within admin system	Executive Head / Clerk	Permanent	End of operational use	Common practice	These should be retained by the school, whilst the school is open and then to the Local Authority Record Office, should the

Reference	File description	Format /	Responsible	Retention			
							school close
1.3	Scheme of delegation and terms of reference for committees	Electronic and paper copies within admin system	Clerk	Until superseded or whilst relevant (schools may wish to retain these records for reference purposes in case decisions need to be justified)	Expiration of terms	Common practice	If the school is unable to store these, they should be offered to the Local Authority Office
1.4	Governor's Code of Conduct	Electronic and paper copies within admin system	Clerk	One copy of each version should be kept for the life of the school.		Common practice	
1.5	Records relating to the election of chair and vice chair	Electronic and paper copies within admin system	Clerk	Once the designation has been recorded in the minutes, the records relating to the election can be destroyed	Date of appointment	Common practice	Secure disposal
1.6	Appointment of a clerk to the governing body	Records as per all staff recruitment	School Admin	Date of appointment + 6 years	Date of appointment	Common practice	Secure disposal
1.7	Records relating to the appointment of parent and staff governors, not appointed by the governors	Electronic and paper copies within admin system	Clerk	Date of election + 6 months	Date of election	Common practice	Secure disposal
1.8	Records relating to the appointment of co-opted governors	Electronic and paper copies within admin system	Clerk	Provided that the decision has been recorded in the minutes, the records relating to the appointment can be destroyed once the co-	Date of appointment	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
				opted governor has finished their term of office (except where there have been allegations concerning children – in this case, retain for 25 years)			
1.9	Application forms – successful candidates	Electronic and paper copies within admin system	Clerk	End of year in office + 1year	End of period of office	Common practice	Secure disposal
1.10	Appointment documentation: <ul style="list-style-type: none"> <li>• Terms of office of serving governors, including evidence of appointment</li> <li>• Governor declaration against disqualification criteria</li> <li>• Register of business interests</li> <li>• Training required, and received, by governors</li> <li>• Induction programme for new governors</li> <li>• DBS checks carried out on the clerk and members of the governing body</li> <li>• Governor personnel files.</li> </ul>	Electronic and paper copies within admin system	Clerk	Date of appointment + 6 years	Date of appointment	Common practice	Secure disposal
1.11	Annual Reports	Electronic and paper copies within admin system	Clerk	Date of the report + 10 years	End of the calendar year that the record was created in	Education (Governor’s Annual Reports) (England) (Amendment) Regulations	Secure disposal

Reference	File description	Format /	Responsible	Retention			
						2002	
1.12	Annual reports required by the Department of Education	Electronic and paper copies within admin system	Clerk	Date of report + 10 years	Date of report	Common practice	Secure disposal
1.13	Meetings schedule	Electronic and paper copies within admin system	Clerk	Current year	Date of meeting	Common practice	Secure disposal
1.14	Agendas for Governing Body meetings	Electronic and paper copies within admin system	Clerk	One copy to be retained with the master set of minutes - all other copies can be disposed of	Conclusion of meeting	Common practice	Secure disposal
1.15	Register of attendance at Full Governing Board meetings	Electronic and paper copies within admin system	Clerk	Date of meeting + 6 years	Date of meeting	Common practice	Secure disposal
1.16	Minutes of Governing Body meetings (Principal Set signed)	Electronic and paper copies within admin system	Clerk	Permanent to be held at school	Date of meeting	Common practice	If the school is unable to store these, they should be offered to the Local Authority Record Office
1.17	Action plans created and administered by the Governing Body	Electronic and paper copies within admin system	Clerk	Until superseded or whilst relevant	Expiration of action plan	Common practice	Secure disposal
1.18	Reports presented to the Governing Body	Electronic and paper copies within admin	Clerk	Reports should be kept for a minimum of 6 years. However, if the	Date of report	Common practice	Secure disposal or retain with

Reference	File description	Format /	Responsible	Retention			
		system		minutes refer directly to individual reports then the reports should be kept permanently			the signed set of minutes
1.19	Policy documents created and/or administered by the Governing Body	Electronic and paper copies within admin system	Clerk	A copy of each policy should create a time line of policy development OR a robust version control which allows a snapshot of a policy at any given date. Keep all policies relating to safeguarding and child protection or other pupil related issues, such as exclusion, until the IICSA has issued its recommendations.	Expiration of the policy	Common practice	Secure disposal
1.20	Records relating to complaints made to, and investigated by the Governing Body and/or Head Teacher	Electronic and paper copies within admin system	Clerk	Date of the resolution of the complaint + a minimum of 6 years. If negligence is involved then current year + 5 years. If child protection or safeguarding issues are involved then current year + 40 years	Resolution of complaint		
1.21	Proposals concerning the change of status of a maintained school, including Specialist	Electronic and paper copies	Clerk	For the life of the organisation	Date proposal accepted or	Common practice	If the school is unable to

Reference	File description	Format /	Responsible	Retention			
	Status Schools and Academies	within admin system			declined		store these, they should be offered to the Local Authority Record Office
1.22	Records relating to Governor Monitoring Visits	Electronic and paper copies within admin system	Clerk	Date of visit + 3 years	Date of visit	Common practice	Secure disposal
1.23	Meeting papers relating to the annual parents' meeting	Electronic and paper copies within admin system	Clerk	Date of the meeting + a minimum of 6 years	Date of meeting	Common practice	Secure disposal
<b>2. Executive Head &amp; Senior Management/Leadership Team</b>							
2.1	Log books of activity in the school maintained by the Head Teacher (Legislation no longer requires the completion of a school log book)	Electronic and paper copies within admin system	Executive Head	Date of the last entry in the log book + a minimum of 6 years and then review	Date of last entry in the log book	Common practice	These could be of permanent historical value and should be offered to the Local Authority Office
2.2	Minutes and reports of Senior Management Team meeting and the meetings of other internal administrative bodies	Electronic and paper copies within admin system	Executive Head	Date of the meeting + 3 years	Date of the meeting	Common practice	Secure disposal
2.3	Records created by the Executive Head, Head of School and other members of staff	Electronic and paper copies	Executive Head/Head	Current academic year + 6 years then review	Date of record	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
	with administrative responsibilities	within admin system	of School				
2.4	Correspondence created by the Executive Head, Head of School and other members of staff with administrative responsibilities – <b>not principally concerning pupils, staff or complaints.</b> In those cases, correspondence should be immediately transferred to the relevant file.	Electronic and paper copies within admin system	Executive Head	Date of correspondence + 3 years and then review	Date of correspondence	Common practice	Secure disposal
2.5	Professional Development Plans	Electronic and paper copies within admin system	Executive Head	Life of plan + 6 years	Date plan commences	Common practice	Secure disposal
2.6	School Development Plans	Electronic and paper copies within admin system	Executive Head	Life of plan + 3 years	Date plan commences	Common practice	Secure disposal
<b>3. Admissions</b>							
3.1	All records relating to the creation and implementation of the School's Admission's Policy	Electronic and paper copies within admin system	Executive Head	Life of the policy + 7 years then review		The School Admissions (Admission Arrangements	Secure disposal
3.2	Admissions – if the admission is successful  Proofs of address, supplied by parents, as part of the admissions process  Supplementary information forms to include; religion, medical conditions etc.	Electronic and paper copies within admin system	Head of School	Date of admission + 1 year          Added to the pupil file	Date of admission	and Co-ordination of Admission Arrangements) (England) Regulations 2012    and	Secure disposal

Reference	File description	Format /	Responsible	Retention			
3.3	Admissions – if the admission is unsuccessful (where no appeal is made)	Electronic and paper copies within admin system	Head of School	Date of applied for admission + 1 year	Date of applied for admission	School Admissions Code Statutory	Secure disposal
3.4	Admissions – if the admission is unsuccessful (where an appeal is made)	Electronic and paper copies within admin system	Head of School	Resolution of case + 1 year	Resolution of case	Guidance 2021	Secure disposal
3.5	Register of Admissions	Electronic and paper copies within admin system	School admin staff	3 years after the date on which the last entry was made	Last entry in register	The School Admissions (Admission Arrangements and Co-ordination of Admission Arrangements) (England) Regulations 2012	Transfer to the Local Authority Record Office
3.6	Proofs of address, supplied by parents, as part of the admissions process	Record retained to say information has been seen	School admin staff	Current year + 1 year	Date of admission	and School Admissions Code Statutory Guidance 2021	Secure disposal
3.7	Supplementary information forms to include; religion, medical conditions etc. For successful admissions	Electronic and paper copies within admin system	School admin staff	This information should be added to the pupil file	Date of admission/annual data check	The Limitation Act 1980	Secure disposal
3.8	Supplementary information forms to	Electronic and	School admin	Until the appeal	Date of		Secure

Reference	File description	Format /	Responsible	Retention			
	include; religion, medical conditions etc. For unsuccessful admissions	paper copies within admin system	staff	process is completed	admission		disposal
<b>4. Operational Administration</b>							
4.1	Records relating to the creation and publication of the school brochures or prospectus	Electronic and paper copies within admin system	Executive Head	Current year + 3 years	Expiration of current publication	Common practice	Transfer to the Local Authority Record Office
4.2	Records relating to the creation and distribution of circulars to staff, parents or pupils	Electronic and paper copies within admin system	Head of School	Current year + 1 year	Date of record	Common practice	Transfer to the Local Authority Record Office
4.3	Newsletters and other items with short operational use	Electronic and paper copies within admin system	Executive Head	Current year + 1 year	Date of record	Common practice	Transfer to the Local Authority Record Office
4.4	Visitor management systems (including electronic systems, visitors' books and signing in sheets)	Paper copies	School admin staff	Current year + 6 years then review	End of calendar year	Common practice	Secure disposal
4.5	Records relating to the creation and management of Parent Teacher Associations and/or Old Pupil Associations	Electronic and paper copies within admin system	Executive Head	Current year + 6 years then review	Date of record	Common practice	Secure disposal
4.6	<b>Pupil &amp; Family</b> Privacy Notice which is made available via the school website as part of UK GDPR compliance	Electronic and paper copies within admin system	School admin staff	Date of issue + 6 years	When policy is superseded	Common practice	Secure disposal
4.7	Consents relating to school activities as part of UK GDPR compliance (e.g. consent to be sent circulars or mailings)	Electronic and paper copies within admin system	School admin staff	This information should be added to the pupil file	Date of admission	Common practice	Secure disposal
4.8	Security breach logs	Electronic and	Executive	Date of issue + 25	Date of	Common	Secure

Reference	File description	Format /	Responsible	Retention			
		paper copies within admin system. Recorded on GDPRIS	Head	years (pupils) and 6 years (staff)	implementation	practice	disposal
4.9	Digital Continuity Plans	Electronic and paper copies within admin system	School admin staff	Date of issue + 6 years	Expiration of current plan	Common practice	Secure disposal
<b>5. School Communications</b>							
5.1	School <b>emails containing personal data</b> – inbox, sent items, deleted items	Electronic	SLT / all staff	End of academic year	In line with guidance in Acceptable use policy	Common practice	Full deletion
5.2	Social media platforms	Dove Holes & Peak Dale Primary School use Facebook	<b>SLT</b>	End of academic year	End of academic yr	Common practice	Posts deleted
5.3	Website – pictures / news stories	Electronic	<b>SLT</b>	End of academic year	End of academic yr	Common practice	Posts deleted
<b>6. HR – Recruitment</b>							
Information containing allegations of sexual abuse must be preserved – IICSA. Unless allegations are found to be malicious or false, other records pertaining to an accused person should be retained at least until the accused has reached normal pension age or for a period of 10 years from the date of the allegation if that is longer. ( <a href="#">KCSIE 2021</a> para399)							
6.1	All records leading up to the appointment of a new Head Teacher	Electronic and paper copies within personnel system	School admin staff	Unsuccessful attempts - date of appointment + 6 months. Successful attempts - add to the staff personnel file and	Date of appointment	Common practice. Right to work - Immigration, Asylum and Nationality Act	Secure disposal (subject to IICSA)

Reference	File description	Format /	Responsible	Retention			
				retain until the end of the appointment + 6 years, except in cases of negligence or claims of child abuse then at least 15 years		2006	
6.2	All records leading up to the appointment of a new member of staff (successful candidate)	Electronic and paper copies within personnel system	School admin staff	Date of appointment + 6 years. This information should be added to the staff personnel file	Date of appointment	Common practice. Right to work - Immigration, Asylum and Nationality Act 2006	Secure disposal (subject to IICSA)
6.3	All records leading up to the appointment of a new member of staff (unsuccessful candidate)	Electronic and paper copies within personnel system	School admin staff	Date of interview + 12 months	Date of interview	Common practice	Secure disposal
6.4	Pre-employment vetting information of successful candidates	Electronic and paper copies within personnel system	School admin staff	Application forms, references and other documents – for the duration of their employment + 6 years. Note there is no requirement to keep a copy of DBS once the details have been entered into the Single Central Record	Date of receipt	Right to work - Immigration, Asylum and Nationality Act 2006. KCSIE 2021	Secure disposal (subject to IICSA)
6.5	Proofs of identity	Electronic and paper copies within personnel	School admin staff	To be kept only as proof of right to work. Not kept for any other purpose.	Date of receipt	Right to work - Immigration, Asylum and Nationality Act	Secure disposal (subject to IICSA)

Reference	File description	Format /	Responsible	Retention			
		system		These documents should be added to the personal folder. Home Office requires that the documents are kept until termination of employment plus not less than 2 years.		2006. KCSIE 2021	
6.6	Pre-employment vetting information of successful candidates – for the purposes of ensuring school staff are adequately qualified	Electronic and paper copies within personnel system	School admin staff	To be added to the member of staff's personal folder	Date of receipt	KCSIE 2021	Secure disposal (subject to IICSA)

### 7. HR – Operational Staff Management

Information containing allegations of sexual abuse must be preserved – IICSA. Unless allegations are found to be malicious or false, other records pertaining to an accused person should be retained at least until the accused has reached normal pension age or for a period of 10 years from the date of the allegation if that is longer. ([KCSIE 2021](#) para399)

7.1	Staff Personnel File	Electronic and paper copies within personnel system	School admin staff	Termination of employment + 6 years	Date of appointment	Limitation Act 1980	Secure disposal (subject to IICSA)
7.2	Timesheets	Electronic and paper copies within personnel system	School admin staff	Current year + 6 years	Date of appointment	Common practice	Secure disposal (subject to IICSA)
7.3	Annual appraisal/assessment records	Electronic and paper copies within personnel	SLT	Current year + 6 years	End of calendar year that the record was created in	Common practice	Secure disposal (subject to IICSA)

Reference	File description	Format / system	Responsible	Retention			
7.4	Sickness absence monitoring	Electronic and paper copies within personnel system	School admin staff	Sickness records are categorised as 'sensitive data'. There is a legal obligation under Statutory Sickness Pay to keep records for sickness monitoring. Sickness records should be kept separate from accident records. Current practice recommends that sickness records should be held for the current year + 3 years.	Date of absence	Common practice & Statutory Sick Pay Act 1994	Secure disposal (subject to IICSA)
7.5	Staff training records	Electronic and paper copies within personnel system	School admin staff	Keep on personnel file	Date of appointment	Common practice (unless dictated by a professional body)	Secure disposal (subject to IICSA)
7.6	Annual leave records	Electronic and paper copies within personnel system	School admin staff	6 years after the end of tax year they relate to or possibly longer if leave can be carried over from year to year	End of relevant tax year	Common practice	Secure disposal
7.7	Working Time Regulations: <ul style="list-style-type: none"> <li>· Opt out forms</li> <li>· Records of compliance with WTR</li> </ul>	Electronic and paper copies within personnel system	School admin staff	2 years from the date on which they were entered into 2 years after the relevant period	End of relevant tax year	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
7.8	Maternity/Adoption/Paternity Leave records	Electronic and paper copies within personnel system	School admin staff	Current year + 3 years	End of relevant tax year	Common practice	Secure disposal
7.9	Consents for the processing of personal and sensitive data	Electronic and paper copies within personnel system	School admin staff	For as long as the data is being processed and up to 6 years afterwards	End of employment	Common practice	Secure disposal
7.10	Staff policy acknowledgement	Electronic and paper copies within admin system	School admin staff	Life of the policy + 3 years	Implementation of the policy	Common practice (unless otherwise dictated eg KCSIE, H&SWA)	Secure disposal (subject to IICSA)
<b>8. HR - Management of Disciplinary and Grievance Processes</b> Information containing allegations of sexual abuse must be preserved – IICSA. Unless allegations are found to be malicious or false, other records pertaining to an accused person should be retained at least until the accused has reached normal pension age or for a period of 10 years from the date of the allegation if that is longer. ( <a href="#">KCSIE 2021</a> para399)							
8.1	Allegation of a child protection nature, against a member of staff, including where the allegation is unfounded	Electronic and paper copies within SLT system	DSL	Until the person's normal retirement age or 10 years from the date of allegation, whichever is longer, then review. NB – allegations that are found to be malicious should be removed from personnel files, from the date they are	Date of referral	KCSIE 2021	Secure disposal (subject to IICSA)

Reference	File description	Format /	Responsible	Retention			
				proven to be unfounded.			
8.2	Disciplinary proceedings: Verbal warning	Electronic and paper copies within admin system	Executive Head	Date of warning + 6 months	Date of warning	KCSIE 2021	Secure disposal (subject to IICSA)
8.3	Disciplinary proceedings: Written warning (level 1)	Electronic and paper copies within admin system	Executive Head	Date of warning + 6 months	Date of warning	KCSIE 2021	Secure disposal (subject to IICSA)
8.3	Disciplinary proceedings: Written warning (level 2)	Electronic and paper copies within admin system	Executive Head	Date of warning + 12 months	Date of warning	KCSIE 2021	Secure disposal (subject to IICSA)
8.4	Disciplinary proceedings: Final Warning	Electronic and paper copies within admin system	Executive Head	Date of warning + 18 months	Date of warning	KCSIE 2021	Secure disposal (subject to IICSA)
8.5	Warnings subsequently found to be based on an unfounded case (excluding child protection related warnings)	Electronic and paper copies within admin system	Executive Head	If the incident is child protection related then see above; otherwise dispose following the conclusion of the case	Date of resolution	KCSIE 2021	Secure disposal (subject to IICSA)

N.B. The ACAS code of practice on disciplinary and grievance procedures recommends that the employee should be told how long a disciplinary warning will remain current. However, this does not mean that the data itself should be destroyed at the end of the set period.

Any disciplinary proceedings data will be a record of an important event in the course of the employer's relationship with the employee. Should the same employee be accused of similar misconduct at a later date, and then defend themselves by denying they would undertake such an action, reference to the earlier proceedings may show that they should not be given credence. Alternatively, if the employee were to be dismissed for some later offence and then claim at tribunal that they had e.g. "fifteen years of unblemished service", the record of the disciplinary proceedings would be effective evidence to counter this claim.

Employers should, therefore, be careful not to confuse the expiry of a warning for disciplinary purposes with a requirement to destroy all reference to its existence in the personnel file. One danger is that the disciplinary procedure itself often gives the impression that, at the end of the effective period for the warning, the warning will be "removed from the file". This or similar wording should be changed to make it clear that, while the warning will not remain active in relation to future disciplinary

Reference	File description	Format /	Responsible	Retention			
matters, a record of what has occurred will be kept.							
<b>9. HR – Payroll &amp; Pensions</b>							
9.1	Maternity Pay Records	Electronic and paper copies within admin system	School admin staff	Current year + 3 years	End of the financial year in which the maternity pay period ends	Statutory Maternity Pay (General) Regulations 1986	Secure disposal
9.2	Records held under Retirement Benefits Schemes - records of notifiable events, for example, relating to incapacity	Electronic and paper copies within admin system	School admin staff	Current year + 6 years	End of the financial year	Retirement Benefits Schemes (Information Powers) Regulations 1995	Secure disposal
9.3	Batches, Bonus Sheets, Car Loans, Car Mileage Output, Insurance, Members Allowance Register, National Insurance (Schedule of payments), Part Time Fee claims, Payroll (gross/net, weekly or monthly), Payroll Reports, Payslips (copies), Pension Payroll, Superannuation adjustments and reports	Electronic and paper copies within admin system	School admin staff	Current year + 6 years	End of the financial year	Taxes and Management Act 1970, Income and Corporation Taxes Act 1988	Secure disposal
9.4	Bonus sheets, Car Allowance claims, Overtime	Electronic and paper copies within admin system	School admin staff	Current year + 3 years	End of the financial year	Taxes and Management Act 1970, Income and Corporation Taxes Act 1988	Secure disposal
9.5	Income Tax P60, Personal bank details. Tax Forms P6/P11/P11D/P35/P45/P46/P48	Electronic and paper copies within admin	School admin staff	Current year + 6 years	End of the financial year	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
		system					
9.6	Absence records, Sickness records, Staff returns, Time Sheets/Clock Cards/Flexitime	Electronic and paper copies within admin system	School admin staff	Current year + 3 years	End of the financial year	Common practice	Secure disposal
9.7	Statutory Sick Pay	Electronic and paper copies within admin system	School admin staff	Current year + 3 years	End of the financial year	Common practice	Secure disposal
<b>10. Health and Safety</b>							
10.1	Accessibility Plans	Electronic and paper copies within admin system	SLT	Current year + 6 years	End of the calendar year that the records was created in	Equality Act 2010	Secure disposal
10.2	Health and Safety Policy Statements	Electronic and paper copies within admin system	SLT	Life of the policy + 3 years	Implementation of the policy	Common practice	Secure disposal
10.3	Health and Safety Risk Assessments	Electronic and paper copies within admin system	SLT	Life of the assessment + 3 years	Implementation of the assessment	Common practice	Secure disposal
10.4	Accident reporting ( <a href="https://www.hse.gov.uk/riddor/reportable-incidents.htm">reportable accidents - https://www.hse.gov.uk/riddor/reportable-incidents.htm</a> ): Adults	Electronic and paper copies within admin system	SLT	Retain for 7 years	Date of incident	Common practice	Secure disposal
10.5	Accident reporting ( <a href="https://www.hse.gov.uk/riddor/reportable-incidents.htm">reportable accidents - https://www.hse.gov.uk/riddor/reportable-incidents.htm</a> ): Children	Electronic and paper copies within admin system	SLT	Retain for 25 years	Date of birth	Common practice	Secure disposal
10.6	<a href="#">Minor incidents (non reportable) accident book</a>	Electronic and paper copies	SLT	<a href="#">Retain for 3 years</a>	<a href="#">End of academic year</a>	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
		within admin system					
10.7	Control of Substances Hazardous to Health (COSHH)	Electronic and paper copies within admin system	SLT	Current year + 40 years	Last action on file	The Control of Substances Hazardous to Health Regulations 2002	Secure disposal
10.8	Process of monitoring areas where employees/pupils are likely to come into contact with asbestos	Electronic and paper copies within admin system	SLT	Last action + 40 years	Last action on file	The Control of Asbestos at Work Health Regulations 2012	Secure disposal
10.9	Process of monitoring areas where employees/pupils are likely to come into contact with radiation	Electronic and paper copies within admin system	SLT	Last action + 50 years	Last action on file	The Ionising Radiations Regulation 2017	Secure disposal
10.10	Fire Precautions log books	Electronic and paper copies within admin system	SLT	Current year + 3 years	End of calendar year	Common practice	Secure disposal
<b>11. Financial Management – Risk &amp; Insurance, Asset Management</b>							
11.1	Employer's Liability Insurance Certificate	Electronic and paper copies within admin system	School admin staff	Date of closure + 40 years	Closure of school	Common practice	Transfer to Local Record Office
11.2	Inventories of furniture and equipment	Electronic and paper copies within admin system	School admin staff	Current year + 6 years	End of calendar year	Common practice	Secure disposal
11.3	Burglary, theft and vandalism report forms	Electronic and paper copies	School admin staff	Current year + 6 years	End of calendar year	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
		within admin system					
<b>12. Financial Management – Accounts and Statements including Budget Management</b>							
12.1	Annual accounts	Electronic and paper copies within admin system	School admin staff	Current year + 6 years	End of financial year	Common practice	Transfer to Local Record Office
12.2	Loans and grants managed by the school (if relevant)	Electronic and paper copies within admin system	School admin staff	Date of last payment on the loan + 12 years then review	End of financial year	Standard financial regulations	Secure disposal
12.3	Student Grant applications (if relevant)	Electronic and paper copies within admin system	School admin staff	Current year + 3 years	End of financial year	Standard financial regulations	Secure disposal
12.4	All records relating to the creation and managements of budgets, including the Annual Budget statement, and background papers	Electronic and paper copies within admin system	School admin staff	Current financial year + 3 years	End of financial year	Common practice	Secure disposal
12.5	Invoices, receipts, order books and requisitions, delivery notices	Electronic and paper copies within admin system	School admin staff	Current financial year + 6 years	End of financial year	Standard financial regulations	Secure disposal
12.6	Records relating to the collection and banking of monies	Electronic and paper copies within admin system	School admin staff	Current financial year + 6 years	End of financial year	Standard financial regulations	Secure disposal
12.7	Records relating to the identification and collection of debt	Electronic and paper copies within admin system	School admin staff	Current financial year + 6 years	End of financial year	Standard financial regulations	Secure disposal
12.8	Pupil Premium Fund records	Electronic and	School admin	Date pupil leaves the	End of financial	Common	Secure

Reference	File description	Format /	Responsible	Retention			
		paper copies within admin system	staff	provision + 6 years	year	practice	disposal
<b>13. Financial Management – Contract Management</b>							
13.1	All records relating to the management of contracts under seal	Electronic and paper copies within admin system	SLT	Current year + 12 years	End of contract	The Limitation Act 1980	Secure disposal
13.2	All records relating to the management of contracts under signature	Electronic and paper copies within admin system	SLT	Current year + 6 years	End of contract	The Limitation Act 1980	Secure disposal
13.3	Records relating to the monitoring of contracts	Electronic and paper copies within admin system	SLT	Current year + 6 or 12 years	End of calendar year	The Limitation Act 1980	Secure disposal
<b>14. Financial Management – School Fund</b>							
14.1	School Fund: <ul style="list-style-type: none"> <li>● cheque books and paying in books</li> <li>● ledger</li> <li>● invoices</li> <li>● receipts</li> <li>● bank statements</li> <li>● journey books</li> </ul>	Electronic and paper copies within admin system	School admin staff	Current year + 6 years	End of use	Financial Services Act 2012, HMRC regulations Companies Act 2006	Secure disposal
<b>15. Financial Management – School Meals</b>							
15.1	Free School Meals Register	ParentPay	School admin staff	Current year + 6 years	End of calendar year	Common practice	Secure disposal
15.2	School Meals Register	ParentPay	School admin	Current year + 3 years	End of calendar	Common	Secure

Reference	File description	Format /	Responsible	Retention			
				year	practice	disposal	
153	School Meals Summary Sheets	Electronic and paper copies within admin system	School admin staff	Current year + 3 years	End of calendar year	Common practice	Secure disposal
<b>16. Property Management</b>							
16.1	Title deeds of properties belonging to the school	Electronic and paper copies within admin system	SLT	Permanent. These should follow the property unless the property has been registered with the Land Registry	Archive upon closure	Common practice	Transfer to Local Authority Record Office
16.2	All records relating to the maintenance of the school, carried out by contractors	Electronic and paper copies within admin system	School admin staff	Current financial year + 6 years	End of financial year that the record was created in	Common practice	Secure disposal
16.3	All records relating to the maintenance of the school, carried out by school employees, including maintenance log book	Electronic and paper copies within admin system	School admin staff	Current calendar year + 6 years	End of calendar year that the record was created in	Common practice	Secure disposal
16.4	Plans of property belonging to the school	Electronic and paper copies within admin system	School admin staff	These should be retained whilst the building belongs to the school and should be passed onto any new owners, if the building is leased or sold.	Transfer of asset	Common practice	Transfer to Local Authority Record Office
16.5	Leases of property leased by, or to, the school	Electronic and paper copies within admin system	School admin staff	Expiry of lease + 6 years	Commencement of lease	Common practice	Secure disposal
16.6	Records relating to the letting of school	Electronic and	School admin	Current financial year	End of financial	Common	Secure

Reference	File description	Format /	Responsible	Retention			
	premises	paper copies within admin system	staff	+ 6 years	year that the record was created in	practice	disposal
<b>17. Pupil Education Record</b> (see <a href="#">s2 Education Record (Pupil Information) Regulations 2005</a> ). SEN is dealt with in <a href="#">section 20</a>							
17.1	Primary	Electronic and paper copies within admin system	School admin staff	Retain whilst the child remains at the primary school	Date pupil changes school	Education (Pupil Information) (England) Regulations 2005	The file should follow the pupil when they leave the primary school (see <a href="#">4.7 Last School</a> )
17.2	Examination Results - Pupil Copies Public	Electronic and paper copies within admin system	School admin staff	This information should be added to the pupil file	Date of examination	Common practice	All uncollected certificates to be returned to the examination board, after reasonable attempts to contact the pupil have failed
17.3	Examination Results - Pupil Copies Internal	Electronic and paper copies within admin system	School admin staff	This information should be added to the pupil file	Date of examination	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
<b>18. Child Protection (CP) / Safeguarding Records</b>							
18.1	Child Protection Information - Primary	Electronic and paper copies within SLT admin systems	Executive Head/ DSL	CP files must be transferred to the new school as soon as possible (5 days), to maintain continuity. Ensure secure transit, and a confirmation of receipt should be obtained. The CP file should be transferred separately from the main pupil file.	Date pupil changes school (Where a child is removed from the roll to be educated at home/missing from education, see below)	KCSIE para 112 & Annex C	Transferred to new or Secondary school. Duplicates must be securely disposed of.
18.2	Child Protection (CP) Information – Children Missing from Education, Traveller, Roma, or Gypsy and, therefore, removed from roll and child deaths.	Electronic and paper copies within SLT admin systems	SLT / DSL	Retain for 25 years from the child’s date of birth, then review. LA Safeguarding Services advise that the principal copy of this information will be held by the LA	Date removed from roll	Common Practice (there is guidance in KCSIE, but not as to retention period)	Transfer to LA Coordinator for Missing Children and Secure disposal (subject to IICSA)
18.3	Child Protection (CP) Information – Child is removed from the roll and is Elective Home Educated	Electronic and paper copies within SLT admin systems	SLT / DSL	Retain for 25 years from the child’s date of birth, then review. LA Safeguarding Services advise that the principal copy of this information will be held by the LA	Date removed from roll	Common Practice (there is guidance in KCSIE, but not as to retention period)	Transfer to LA Elective Home Education Coordinator and Secure

Reference	File description	Format /	Responsible	Retention			
							disposal (subject to IICSA)
<b>19. Attendance</b>							
19.1	Attendance Registers	Online MIS (currently RM Integris)	School admin staff	Every entry in the attendance register must be preserved for a period of 3 years after the date on which the entry was made	Last entry in register	DfE <a href="#">School attendance Guidance for maintained schools, academies, independent schools and local authorities August 2020</a> para 19	Secure disposal
19.2	Correspondence relating to authorized absence	Electronic and paper copies within admin system	School admin staff	Date of absence + 2 years	Date of absence	DfE School attendance Guidance for maintained schools, academies, independent schools and local authorities August 2020	Secure disposal
<b>20. Special Educational Needs (SEN)</b>							
20.1	SEN files, reviews and Individual Education Plans – Primary	Electronic and paper copies within admin	SENCO	Retain for duration of attendance at school	Date pupil changes school	The Limitation Act 1980	Transfer to new or Secondary

Reference	File description	Format /	Responsible	Retention			
							School
20.2	Statement / Education Health Care Plan (EHCP) under <a href="#">Section 324 of the Education Act 1996</a> and any amendments made to the plan	Electronic and paper copies within admin system	SENCO	Date of birth of pupil + 25 years	Pupil's date of birth	Special Educational Needs and Disability Act 2001, Children and Families Act 2014 & The Limitation Act 1980	Secure disposal (subject to IICSA)
<b>21. Curriculum Management</b>							
21.1	Curriculum returns	Electronic and paper copies within admin system	SLT / School admin staff	Current year + 3 years	End of the calendar year that the record was created in	Common practice	Secure disposal
21.2	Curriculum development	Electronic and paper copies within admin system	SLT	Current year + 6 years	End of the calendar year that the record was created in	Common practice	Secure disposal
21.3	Examination Results (School's copy)	Electronic and paper copies within admin system	School admin staff	Current year + 6 years	Date of examination	Common practice	Secure disposal
21.4	SATs Results	Electronic and paper copies within admin system	SLT / School admin staff	The SATS result should be recorded on the pupil's educational file and will therefore be retained until the pupil reaches the ages of 25 years. The school may wish to keep a	Date that results are released	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
				composite record of all the whole year SATS results. These could be kept for the current year + 6 years to allow suitable comparison			
21.5	SATs Examination papers	Paper copies	SLT	The examination papers should be kept until any appeals/validation process is complete	Date of examination	Common practice	Secure disposal
21.6	Published Admission Number (PAN) Reports	Electronic and paper copies within admin system	SLT	Current year + 6 years	End of the calendar year that the record was created in	Common practice	Secure disposal
21.7	Value Added and Contextual Data	Electronic and paper copies within admin system	SLT	Current year + 6 years	End of the calendar year that the record was created in	Common practice	Secure disposal
21.8	Self-Evaluation Forms	Electronic and paper copies within admin system	SLT	Current year + 6 years	Date of completion	Common practice	Secure disposal
21.9	Internal Moderation	Electronic and paper copies within admin system	SLT	Academic year + 1 academic year	Date of commencement	Common practice	Secure disposal
21.10	External Moderation	Electronic and paper copies within admin system	SLT	Until superseded	Date of commencement	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
<b>22. Implementation of Curriculum</b>							
22.1	Schemes of Work	Electronic and paper copies within admin system	SLT	Current year + 1 year	End of the academic year that the record was created in	Common practice	Review these records at the end of each year and allocate a further retention period or secure disposal
22.2	Timetable	Electronic and paper copies within admin system	SLT	Current year + 1 year	End of the academic year that the record was created in	Common practice	Secure disposal
22.3	Class Record books, mark books, homework records (eg teacher spreadsheets etc)	Electronic and paper copies within admin system	SLT	Current year + 1 year	End of the academic year that the record was created in	Common practice	Secure disposal
22.4	Pupil work	Electronic and paper copies within admin system	SLT	Where possible, pupils' work should be returned to the pupil at the end of the academic year. If this is not, currently, the school's policy then it should be retained for the current year +1	End of the academic year that the record was created in	Common practice	Secure disposal
22.5	Online learning platforms	Electronic	Teacher responsible for each platform	As above. Work should be cleared from platforms at the end of the following academic year	End of the academic year that the record was created in	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
22.6	Teacher diaries & Notebooks	Paper	Teacher responsible for their own	Contents should be transferred to appropriate record keeping (eg staff file, pupil record, MIS safeguarding / behaviour log) as soon as possible. Destroyed within 3 months.	Expiration of diary. Completion of notebook	Common practice	Secure disposal
<b>23. Extra Curriculum Management</b>							
23.1	Records created by schools to obtain approval to run an Educational Visit outside the Classroom (Primary schools) where there has not been a Major Incident <small>(Records created might include risk assessments)</small>	Electronic and paper copies within admin system	EVC / Visit Leader	Date of visit + 14 years	Date of visit	The Health and Safety at Work Act 1974	Secure disposal
23.2	Parental consent forms for school trips where there has been no Major Incident	Electronic and paper copies within admin system	EVC / Visit Leader	No retention is required		Common practice	Secure disposal
23.4	Records created by schools to obtain approval for to run an Educational Visit outside the Classroom, <b>where there has been a Major Incident</b> <small>(Records created might include risk assessments)</small>	Electronic and paper copies within admin system	EVC / Visit Leader	Retain for 25 years from the date of birth of the pupil/s involved in the incident	Pupil's DOB	The Limitation Act 1980	Secure disposal
23.4	Parental consent forms for school trips, <b>where there has been a Major Incident</b>	Electronic and paper copies within admin system	EVC / Visit Leader	Retain for 25 years from the date of birth of the pupil/s involved in the incident. The permission slips for all the pupils on the trip need to be retained to show that the rules had been	Pupil's DOB	The Limitation Act 1980	Secure disposal

Reference	File description	Format /	Responsible	Retention			
				followed for all pupils			
<b>24. Family Liaison / Early Help / Alternative Provision</b>							
24.1	Day books	Electronic and paper copies within admin system	SLT	Current year + 2 years then review	End of the calendar year that the record was created in	Common practice	Secure disposal
24.2	Reports for outside agencies – where the report has been included on the agency case file	Electronic and paper copies within admin system	SLT	Whilst the child is attending school and then destroy	Date of completion of report	Common practice	Secure disposal (subject to IICSA)
24.3	Referral forms	Electronic and paper copies within admin system	SLT	While the referral is current	Date of completion of form	Common practice	Secure disposal (subject to IICSA)
24.5	Contact data sheets and database entries	Electronic and paper copies within admin system	SLT	Current year then review – if contact is no longer active then destroy	End of the calendar year that the record was created in	Common practice	Secure disposal (subject to IICSA)
24.6	Group registers	Electronic and paper copies within admin system	SLT	Current year + 2 years	Last entry in register	Common practice	Secure disposal
<b>25. Local Authority</b>							
25.1	Secondary Transfer sheets	Electronic and paper copies within admin system	School admin staff	Current year + 2 years	Year of transfer	Common practice	Secure disposal
25.2	Attendance Returns	Electronic and paper copies within admin	School admin staff	Current year + 1 year	End of the calendar year that the record	Common practice	Secure disposal

Reference	File description	Format /	Responsible	Retention			
					was created in		
25.3	School Census Returns	Electronic and paper copies within admin system	School admin staff	Current year + 5 years	Completion of return	Common practice	Secure disposal
25.4	Circulars and other information sent from the Local Authority	Electronic and paper copies within admin system	School admin staff	Operational use	Date of issue	Common practice	Secure disposal
<b>26. Central Government</b>							
26.1	OFSTED reports and papers	Electronic and paper copies within admin system	SLT	Retain whilst current	Date new report is issued	Common practice	Transfer to Local Authority Record Office
26.2	Returns made to central government, including Schools financial value standard (SFVS) and assurance statement	Electronic and paper copies within admin system	School admin staff	Current year + 6 years	End of the calendar year that the record was created in	Common practice	Secure disposal
26.3	Circulars and other information sent from central government	Electronic and paper copies within admin system	School admin staff	Operational use	Date of issue	Common practice	Secure disposal
<b>27. Parent / Alumni Associations</b>							
27.1	Records relating to the creation and management of PTA and Old Pupil Associations	Electronic and paper copies within admin system	SLT	Current year + 6 years	Date of foundation	Common practice	Secure disposal
<b>28. Recordings (meetings, calls, online lessons)</b>							
28.1	Incoming & Outgoing calls			Calls are not recorded		Common	Secure

Reference	File description	Format /	Responsible	Retention			
					practice	disposal	
28.2	Meetings	Electronic and paper copies within admin system	SLT	Video or voice recordings are not taken. Minutes are taken at some meetings.	Common practice	Secure disposal	
28.3	Online lessons			Online lessons are not recorded.	Common practice	Secure disposal	
28.4	Staff training			Training is not recorded.	Common practice	Secure disposal	
<b>29. Administration of Medication</b>							
29.1	Non-prescription medicines and remedies inc painkillers, or very commonly prescribed drugs such as antibiotics or asthma inhalers	Electronic and paper copies within admin system	School admin staff	Current year + 1 year	Date of administration	Limitation Act 1980	Secure disposal
29.2	All other administration of medication not covered by 30.1 including, but not limited to: peg feeding, injections, treatments for serious conditions such as diabetes, ADHD or depression	Electronic and paper copies within admin system	School admin staff	Date of birth of the pupil + 25 years	Date of administration	Limitation Act 1980	Secure disposal

Appendix A – List of School Records and Data safely destroyed

**Specimen Checklist for Annual Review of School Records and Safe Data Destruction**

The following is an example of how to create a destruction record – this could be a spreadsheet.

Reference Number	File/Record Title	Description	Reference or Cataloguing Information	Number of Files Destroyed	Method of Destruction	Confirm; (i) (ii)  Safely Destroyed In accordance with Data Retention Guidelines  Yes/No	Name of Authorising Officer
1.	School invoices	Copies of purchase invoices dated 2011/12	Folders marked 'Purchase Invoices 2011/13' 1-3	3 Folders	Cross shredded	Yes	J Smith (Head)

[School Name]

Data Protection Framework: 4. Record Retention and Deletion Policy



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